

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL INFORMATION SCLOSURE STATEMENT BY APPLICANTS

ATTY. DOCKET NO. CF-82	APPLICATION NO. 10/615,721
APPLICANTS Howard W. Lutnick et al.	CONFIRMATION NO. 9421
FILING DATE July 8, 2003	GROUP 3629

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/M.Z./	6,321,212 B1	11/20/01	Lange	705	37	
/M.Z./	2002/0099640 A1	07/25/02	Lange	705	37	
/M.Z./	2002/0147670 A1	10/10/02	Lange	705	35	
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FOREIGN PATENT DOCUMENTS

EXAMINER	DOCUMENT	DOCUMENT DATE	COUNTRY CLASS	CLASS	SS SUBCLASS	TRANSLATION	
INITIAL	NUMBER	DATE		OLAGO		YES	NO
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
/M.Z./	David Edwards, Patent Backed Securitization: Blueprint For a New Asset Class, pp. 1-11, March 1, 2002.

EXAMINER

/Michael Zecher/

DATE CONSIDERED

09/04/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.

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Substitute for form 1449/PTO	Complete If Known			
Substitute for form 1448/FTO	Application Number	10/615,721		
INFORMATION DISCLOSURE	Filing Date	08 Jul 2003		
INFORMATION DISCLOSURE	First Named Inventor	Lutnick, et al.		
STATEMENT BY APPLICANT	Art Unit	3629		
(Use as many sheets as necessary)	Examiner Name	MOONEYHAM		
Sheet 1 of 1	Attorney Docket Number	03-1082		

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/M.Z./		^{US-} 6556992	4/29/2003		
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	⊤ 6		
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Examiner Signature	/Michael Zecher/	Date Considered	09/04/2007

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